

Financial Literacy Educator: Harnessing 20 years of caring and practical financial and managerial expertise in accounting, credit, debt and compliance, audits, tax and strategic implementation.

DEDICATED • STRATEGIC • PROACTIVE • INNOVATIVE

PROFESSIONAL EXPERIENCE & ACHIEVEMENTS

PRESIDENT & CEO

ICOMPASS COMPLIANCE SOLUTIONS LLC, 1hourimpact.com and rubystroupe.com

JULY 2013 - PRESENT

Woodland, WA

Licensed boutique CPA Firm specializing in:

Municipalities, Small and disadvantaged businesses and family tax, business strategy and financial systems

- Proven expertise: Extensive hydroelectric utility, FERC, plus municipal finance issuance and compliance
- Comprehensive understanding of legal, accounting, auditing AND compliance aspects of public entities
- Caring, simple and effective tools to help organizations set up rock-solid business systems for success
- Author of “A Taxing Dilemma”, nationally published municipal bond compliance article – November 2013
- Offering 2-hour and half-day webinars in critical quality and compliance areas – cost effective and relevant

Core Financial Literacy Education to help families succeed

- Launched two innovative core financial literacy programs – available in-person and online – based on programs that I have developed and presented at live events for 15 years while working in the public sector
- *Adult and family core financial literacy – secular and faith-based programs*
 - Weekend live local events or 12-week online group training to help lift families out of poverty
- *Innovative children’s theater program teaching core financial literacy and character skills*
 - Smart Money Commanders™
 - Adventures in Puppets DVD, teaching core character skills

TREASURY DIRECTOR, CENTRAL FINANCIAL SERVICES

October 2009 – June 2013

TREASURY MANAGER, CENTRAL FINANCIAL SERVICES

March 2007– October 2009

OREGON HEALTH & SCIENCE UNIVERSITY

Portland, OR

- **Smarter Debt** – managed three separate bond financings, securing \$35 million of NPV savings
- **Compliance Counts** - Securing \$5 million in interest expense savings annually, implemented robust post issuance compliance program for \$800 million debt portfolio, plus successfully resolved **4 IRS audits** on \$500 million in bonds, with two audits running concurrently
- **Budgeting and Teaming** - Saving an average of 10% under budget historically, successfully managed Treasury and Bursar departments with budgets of nearly \$1.3 million annually with **zero staffing turnover** for over five years
- **Prudence during Volatility** - Capturing \$2 million in realized gains during extreme **market volatility**, prudently maintained earnings above updated policy benchmarks on \$100 million in liquidity and \$400 million in investments
- **Clean Accounting & Audits** - Maintaining a record of **zero recordable audit adjustments for six years**, completed **clean external audits, CAFRs**, plus submission of all required annual reports
- **Process Improvements** – Increased reconciliation efficiency by 300%, identified, **championed** and sponsored institution- wide Oracle/EPIC/bank **automation initiative** for PCI-DSS and Dodd-Frank
- **Reducing Footprint** - Co-chaired innovative divisional pilot program for teleworking, which facilitated a 30% reduction in office footprint, increased staff morale and loyalty and enhanced productivity
- **Strategic Cohesiveness** - Maintaining diverse intergovernmental agreement and covenants, facilitated the financial compliance oversight of a \$295 million multi-agency capital project with three agencies
- **Community Impact** – Departmental lead for innovative staffing and community project alignment, including volunteer efforts to make a difference in our metro area socially, economically and educationally

TREASURY SUPERVISOR

April 2004 – March 2007

TREASURY ANALYST

August 2002 – April 2004

HYDRO SYSTEM ACCOUNTANT

February 2001 – August 2002

*PUBLIC UTILITY DISTRICT 1 OF CHELAN COUNTY (PUD)**Wenatchee, WA*

- **Strategic Contracts** - Adding over \$80 million in NPV, key stakeholder to **draft** and **negotiate** innovative new long-term power purchaser contracts for Rocky Reach Dam
- **Public and Community Relations** - Assisting CFO and elected Commissioners, planned and helped conduct high profile public meetings on rates/relicensing for the Rocky Reach and Lake Chelan Hydro projects
- **Managing Change and Innovation** – Key financial team player for the District’s Networks System, the Nine Canyon’s Wind Program, and expansion of the Water System LID program into rural areas of the community
- **Debt Improvements** - Saving \$30 million (NPV), issued or refinanced three bonds series in 18 months then annually thereafter, plus initiated and maintained **electronic filing** of continuing disclosure under SEC Rule 15c2-12
- **System Implementations** - Increasing operational efficiency by 30%, implemented the financial module of PeopleSoft ERP, plus debt and cash management systems to effectively manage 400 cash accounts and \$600 million in debt
- **Accounting Efficiencies** - Decreasing close time by 2 days per month, **managed system accounting** for two hydroelectric dams (Rocky Reach and Lake Chelan), including power purchaser contract relations, all audits, FERC relicensing and extensive capital projects
- **Compliance** - While managing a 20% staffing shortage over two years, implemented **comprehensive** post-issuance **bond compliance** program for over 30 different multi-modal series of bonds spanning 60 years

CPA, STAFF ACCOUNTANT & AUDITOR

October 1997 – February 2001

*HOMCHICK, SMITH & ASSOCIATES, PLLC**Wenatchee, WA*

- Generating over 40% of base salary annually in new clients for the firm, completed tax returns for individuals, businesses and tax-exempt entities plus completed diverse audits, reviews and compilations during summer months

EDUCATION and CERTIFICATIONS

BACHELOR OF SCIENCE IN ACCOUNTING, MINOR IN ECONOMICS - JUNE 1997

3.82 GPA

BACHELOR OF SCIENCE IN BUSINESS ADMINISTRATION, MINOR IN FINANCE - JUNE 1997

*CENTRAL WASHINGTON UNIVERSITY**Ellensburg, WA*

SELECTED PROFESSIONAL LICENSURE, ASSOCIATION MEMBERSHIPS, & DEVELOPMENT

- Certified Public Accountant (CPA), Washington State License #20902, granted 1999
- Certified Treasury Professional (CTP), Association of Financial Professionals (AFP), granted 2007
- Chartered Global Management Accountant (CGMA), AICPA, granted 2012
- Current Member, American Institute of Certified Public Accountants (AICPA)
- Current certified Member National Financial Educator’s Council (NFEC)
- LEAN and CAPS Training and Implementation, Oregon Health & Science University, 2011-2012
- Toastmasters Public Speaker Training, 2010-2011

PERSONAL and PROFESSIONAL REFERENCES

Available upon Request

Addendum to Resume

SKILLS INVENTORY

<u>Functional/Industry</u>	<u>Leadership</u>	<u>Interpersonal</u>
Cash, Investments and Liquidity	Forward strategic thinker	Adept public speaker
Entity Integrations/Mergers	Inclusive team player	Integrity first
Core Financial Literacy Ed	Effective Change Agent	Goes the extra mile
Entity Tax Diligence	Process improvements	“Can-do” attitude
1099, W-8, W-9, Foreign Vendors	Automation and streamlining	Proactive communicator
Banking conversions	Cross-functional teams	Quickly synthesizes data
Unrestricted & Endowment Funds	Empowered team innovation	Lead through uncertainty
Consolidated multiple books	Strategic departmental redeployment	Happy and content
Debt issuance /management	Reduced manual error rates	Compassionate, yet accountable
Complex capital projects	Engenders team trust	Sincere
Contract negotiation/RFPs	Attention to detail	Creative ideas
Post Issuance Compliance	Meets timelines consistently	Outgoing
HIPAA compliance	Calm under pressure	Sense of humor
Swap/LOC management	Confidentiality	Lead and follow
Financing facilities	Cross-departmental liaison	Efficient and effective
Legal document review	Dynamic presentation skills	Dedicated
Budgeting and forecasting	Teach for success	Infectious curiosity
Financial Statement Audits	Thoughtful mentor	Active listener
Regulatory issue management	Regularly under budget	“Guide on the side” support
IRS audit resolution	Ad Hoc scenarios	
System implementations	Multi-agency capital projects	
Rating Agency relations	Policy development	
E-commerce/PCI-DSS		
Oracle/PeopleSoft ERP		
Microsoft Office/Cognos/TM1		
LinkedIn, Adobe Connect, Lync		
Online teaching platforms		
Marketing and social media		
Program design and development		